

IN THE UNITED STATES DISTRICT COURT  
FOR THE EASTERN DISTRICT OF CALIFORNIA

FRED GRAVES,

Petitioner, No. CIV S-04-1279 LKK GGH P

vs.

MIKE KNOWLES, et al., ORDER AND  
Respondent. FINDINGS AND RECOMMENDATIONS

16 I. Introduction

17 Petitioner is a state prisoner proceeding pro se with a petition for writ of habeas  
18 corpus pursuant to 28 U.S.C. § 2254. This action is proceeding on the original petition filed July  
19 2, 2004. Petitioner challenges his conviction of a prison disciplinary for distribution of a  
20 controlled substance in violation of Cal. Code of Regs. tit. 15, § 3016. After carefully reviewing  
21 the record, the court recommends that the petition be denied.

22 On February 15, 2005, the court ordered petitioner to show cause why this action  
23 should not be dismissed for lack of jurisdiction. It appeared that petitioner was no longer “in  
24 custody” for this conviction when he filed this action. Maleng v. Cook, 490 U.S. 488, 490, 109  
25 S. Ct. 1923, 1925 (1989) (per curiam) (quoting 28 U.S.C. § 2241(c)(1)). On March 28, 2005,  
26 petitioner filed a response to the show cause order. After carefully reviewing the record, the

1 court finds that petitioner meets the custody requirement because he was still required to attend  
2 Alcoholics Anonymous and Narcotics Anonymous as punishment for his conviction when he  
3 filed this action. See Dow v. Circuit Court of the First Circuit, 995 F.2d 922, 923 (9th Cir. 1993)  
4 (per curiam) (a sentence of 14 hours of attendance at an alcohol rehabilitation program renders  
5 someone “in custody.”). Accordingly, the February 15, 2005, order to show cause is discharged.

6 On March 31, 2005, petitioner filed a motion to amend his response to the show  
7 cause order and a request to hold this action in abeyance. On May 26, 2005, petitioner filed a  
8 motion to file another amendment to his response to the show cause order and a request to  
9 withdraw the motion to hold this action in abeyance. Because the show cause order is  
10 discharged, these motions are denied as unnecessary.

11 II. Anti-Terrorism and Effective Death Penalty Act (AEDPA)

12 The AEDPA “worked substantial changes to the law of habeas corpus,”  
13 establishing more deferential standards of review to be used by a federal habeas court in  
14 assessing a state court’s adjudication of a criminal defendant’s claims of constitutional error.  
15 Moore v. Calderon, 108 F.3d 261, 263 (9th Cir. 1997).

16 In Williams (Terry) v. Taylor, 529 U.S. 362, 120 S. Ct. 1495 (2000), the Supreme  
17 Court defined the operative review standard set forth in § 2254(d). Justice O’Connor’s opinion  
18 for Section II of the opinion constitutes the majority opinion of the court. There is a dichotomy  
19 between “contrary to” clearly established law as enunciated by the Supreme Court, and an  
20 “unreasonable application of” that law. Id. at 1519. “Contrary to” clearly established law applies  
21 to two situations: (1) where the state court legal conclusion is opposite that of the Supreme  
22 Court on a point of law, or (2) if the state court case is materially indistinguishable from a  
23 Supreme Court case, i.e., on point factually, yet the legal result is opposite.

24 “Unreasonable application” of established law, on the other hand, applies to  
25 mixed questions of law and fact, that is, the application of law to fact where there are no factually  
26 on point Supreme Court cases which mandate the result for the precise factual scenario at issue.

1       Williams (Terry), 529 U.S. at 407-08, 120 S. Ct. at 1520-1521 (2000). It is this prong of the  
2       AEDPA standard of review which directs deference to be paid to state court decisions. While the  
3       deference is not blindly automatic, “the most important point is that an *unreasonable* application  
4       of federal law is different from an incorrect application of law....[A] federal habeas court may not  
5       issue the writ simply because that court concludes in its independent judgment that the relevant  
6       state-court decision applied clearly established federal law erroneously or incorrectly. Rather,  
7       that application must also be *unreasonable*.” Williams (Terry), 529 U.S. at 410-11, 120 S. Ct. at  
8       1522 (emphasis in original). The habeas corpus petitioner bears the burden of demonstrating the  
9       objectively unreasonable nature of the state court decision in light of controlling Supreme Court  
10      authority. Woodford v. Viscotti, 537 U.S. 19, 123 S. Ct. 357 (2002).

11           The state courts need not have cited to federal authority, or even have indicated  
12      awareness of federal authority in arriving at their decision. Early v. Packer, 537 U.S. 3, 123 S.  
13      Ct. 362 (2002). Nevertheless, the state decision cannot be rejected unless the decision itself is  
14      contrary to, or an unreasonable application of, established Supreme Court authority. Id. An  
15      unreasonable error is one in excess of even a reviewing court’s perception that “clear error” has  
16      occurred. Lockyer v. Andrade, 538 U.S. 63, 75-76, 123 S. Ct. 1166, 1175 (2003). Moreover, the  
17      established Supreme Court authority reviewed must be a pronouncement on constitutional  
18      principles, or other controlling federal law, as opposed to a pronouncement of statutes or rules  
19      binding only on federal courts. Early v. Packer, 537 U.S. at 9, 123 S. Ct. at 366.

20           However, where the state courts have not addressed the constitutional issue in  
21      dispute in any reasoned opinion, the federal court will independently review the record in  
22      adjudication of that issue. “Independent review of the record is not *de novo* review of the  
23      constitutional issue, but rather, the only method by which we can determine whether a silent state  
24      court decision is objectively unreasonable.” Himes v. Thompson, 336 F.3d 848, 853 (9th Cir.  
25      2003).  
26      \\\\\\\\

1       In reviewing a state court's summary denial of a habeas petition, the court "looks  
2 through" the summary disposition to the last reasoned decision. Shackleford v. Hubbard, 234  
3 F.3d 1072, 1079 n. 2 (9th Cir. 2000) (citing Ylst v. Nunnemaker, 501 U.S. 797, 803-04, 111 S.  
4 Ct. 2590 (1991)). In the instant case, the Sacramento County Superior Court issued a reasoned  
5 decision addressing petitioner's claim that there was not sufficient evidence to support his  
6 conviction.<sup>1</sup> The California Court of Appeal and California Supreme Court issued opinions,  
7 without reasons, denying petitioner's state habeas petitions. Accordingly, as to petitioner's  
8 sufficiency of evidence claim, the court looks through to the reasoned decision of the Superior  
9 Court to determine whether its decision was contrary to or an unreasonable application of  
10 Supreme Court authority. As to the remaining claims, for which there is no reasoned opinion, the  
11 court conducts an independent review of the record.

12 **III. Discussion**

13       In order to put petitioner's claims in context, the court will set forth the  
14 background information contained in petitioner's rules violation report:

15       On April 8, 2002, at 2104 hours, Correctional Officer C. Weston conducted a  
16 random cell search of 5B-AA-1-05 solely occupied by inmate COLLINS, I., C-  
17 23149 and GRAVES, F., B-59365. At 2115 hours, Inmates GRAVES and  
18 COLLINS were escorted to the Custody Complex and placed into holding cells.  
19 At 2115 hours, Officer Weston performed a search of cell AA-1-05. At 2145  
20 hours Officer Weston removed the base from a "Windmere" electric fan, located  
21 at the left foot/leg, of the bottom bunk in cell AA-1-05. Inside the base of the fan  
22 were three bindles of a dark brown, tar like substance wrapped in cellophane. At  
23 2235 hours, Officer Weston performed a Narcotics Identification Systems Field  
24 Test (NIK) utilizing test A, Lot #9911-5, and test B, Lot #9706-2. Bindle # 1  
25 weighed 1.1 grams before testing and 1.0 grams after testing. All tests were  
26 presumptive positive for heroin. The total weight including cellophane before  
testing was 3.9 grams and 3.6 grams after testing.

27       At 2335 hours, Officer Weston advised COLLINS of his Miranda Rights pursuant  
28 to the Miranda Decision. COLLINS waived his right to remain silent and made a  
29 statement. At 2345 hours, Officer Weston advised GRAVES OF HIS Miranda  
30 Rights pursuant to the Miranda Decision. GRAVES waived his right to remain  
31 silent and made a statement.

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32       <sup>1</sup> The Superior Court also addressed the merits of a claim not raised in this petition.

1 At 2355 Officer Weston collected a urine sample from COLLINS and placed it  
2 into evidence locker # 7. On March 9, 2002, at 1535 hours, Officer Weston  
3 collected a urine sample from GRAVES and placed it into evidence locker # 8.  
Both inmates were asked if they would waive all testing by the contracted  
laboratory in this matter and admit guilt. Both inmates refused.

4 The Heroin, fan and pictures (prints as well as 1.44 MB floppy disc) were all  
5 labeled by Officer Weston and placed into evidence locker # 7 located in the  
Custody Complex. Bindle # 3 weighed approximately 1. 6 grams, including the  
6 clear cellophane wrapping. All three bindles weighed approximately 3.9 grams  
total, including the clear cellophane wrapping. At approximately 2235 hours, I  
7 performed a Narcotics Identification System Field test (NIK) on a small portion of  
bindle # 1. Test "A," lot # 9911-5, indicated opium alkaloids with a purple color  
8 change. I then utilized Test "B," lot # 9706-2, to confirm the type of opium  
alkaloids. Test "B" proved presumptively positive for Heroin with a yellow to  
increasing darker color change. I tested bindle # 2 using test "B" only. Test "B"  
9 on bindle # 2 proved presumptively positive for Heroin with a yellow to  
increasing darker yellow test "B" only. I tested bindle # 3 using test "B" only.  
Test "B" on bindle # 3 proved presumptively positive for Heroin with a yellow to  
10 increasing darker yellow color change. At approximately 2310 I positively  
11 identified inmates Graves and Collins by their inmate identification cards. At  
12 approximately 2335 hours, I read inmate Collins, C-23149 his Miranda Rights  
pursuant to the Miranda Decision. Inmate Collins acknowledged his rights,  
13 signed the Notice of Rights form and elected to answer the following questions.  
Question # 1 "Do you know what was found in the cell." Inmate Collins  
14 answered "No" Question # 2 "Do you own any fans in cell 5-AA1-05." Inmate  
Collins answered "No." Question # 3 "Do you know who owns the broken white  
fan in your cell." Inmate Collins answered "My cellie told me that was his fan." I  
15 then informed inmate Collins of the discovery of heroin in his assigned cell and  
that pursuant with Director's rule 3290(e) I asked him if he would waive all  
16 testing by the contracted laboratory in this disciplinary matter and admit guilt.  
Inmate Collins refused to sign the Nik Drug Screen Test Report. At  
17 approximately 2345 hours, I read inmate Graves, B-59365 his Miranda Rights  
pursuant to the Miranda Decision. Inmate Graves acknowledged his rights, signed  
18 the Notice of Rights form and elected to answer the following questions.  
Question # 1 "Who owned the broken white fan in your cell 5-AA1-05." Inmate  
19 Graves answered "I just bought the fan. It was under my bed." Question #2  
"Who did you buy the fan from." Inmate Graves refused to answer this question.  
Question # 3 "When did you buy the fan." Inmate Graves answered, "Last  
20 Monday, April 1st." Question # 4 "Did you know about the heroin inside the  
fan." Inmate Graves answered, "No, I just bought it. The fan was completely  
21 mine. I bought it off the tier for \$1.00. It didn't work. My cellie didn't know  
about it." I then informed inmate Graves of the discovery of heroin in his  
22 assigned cell and that pursuant with Director's Rule 3290(e) I asked if he would  
waive all testing by the contracted laboratory in this disciplinary matter and admit  
guilt. Inmate Graves refused to sign the NIK Drug Screen Test Report. At  
23 approximately 2355 hours, I ordered inmate Collins to supply a urine specimen.  
Inmate Collins complied with my order. The urine sample was labeled with the  
date "4/08/02", my initials "CLW" and Badge # "38284" and secured in evidence  
24 locker # 7 located in the Custody Complex. I then completed an Evidence  
Envelope, secured the heroin inside a ziplock type plastic bag, labeled with a  
25  
26

1 piece of evidence tape with the date "4/09/02" my initials "CLS" and Badge #  
2 "38284" and placed it in the same envelope. I also labeled the white plastic fan  
3 with a piece of evidence tape with the date "4/08/02" my initials "CLW" and  
4 Badge # "38284." I also secured the white plastic fan in evidence locker # 7. All  
5 evidence was secured and locked in evidence locker # y on 4/09/02 at 0330 hours.  
On April 9, 2002 at approximately 1535 hours, I ordered inmate Graves to supply  
a urine specimen. Inmate Graves complied with my order. The urine sample was  
labeled with the date "4/09/02," my initials "CLW" and Badge # "38284" and  
secured in evidence locker # 8, located in the Custody Complex.

6 Answer, Exhibit 3.

7 The Rules Violation Report describes what occurred at the disciplinary hearing:

8 On Sunday, July 21, 2002, at approximately 2000 hours, Inmate GRAVES was  
9 reminded of his rights pursuant of Miranda Decision and agreed to participate in  
this hearing. Inmate GRAVES who on 5/16/02, requested his hearing be  
10 postponed pending District Attorney's Referral, revoked the request on June 22,  
2002. Due to an Administrative Error, the hearing was not conducted until July  
11 21, 2002, which does not meet the thirty day time constraints mandated by CCR  
3320(a). Therefore, the forfeiture of credits as a penalty for the misconduct is  
prohibited. All other time constraints were adhered to and all other due process  
12 was afforded. As noted in the reporting employee's written report Inmate  
GRAVES is not a participant in the mental Health Services Delivery System.  
13 Inmate GRAVES meets the criteria for the assignment of an Investigative  
Employee. Officer M. Feryance assumed those duties as his report, which was  
14 carefully reviewed by the SHO contained on the CDC 1150A. Inmate GRAVES  
does not meet the criteria for the assignment of a Staff Assistant (SA) per CCR  
15 3315(d)(2). Inmate GRAVES requested Lieutenant J. Valencia, Sergeant S.  
Rowan, Officer Meadows, Officer McCoy, Officer Shambre and the Reporting  
16 Employee Officer, C. Weston, as witnesses at this hearing.

17 The following witnesses were denied by the Senior Hearing Officer: Officer  
18 Meadows, Officer McCoy and Officer Shambre as Inmate GRAVES was unable  
to demonstrate that these witnesses had relevant or additional information to offer  
19 in this hearing per 3315(e)1B. No confidential information was provided or  
reviewed in the adjudication of this disciplinary hearing. The charges were read  
to Inmate GRAVES he acknowledged understanding the charges, pled "NOT  
20 GUILTY" and chose to remain silent.

21 At this time the SHO asked Inmate GRAVES the following questions:

22 SHO: Was the heroin found in the fan yours?

23 I/M GRAVES: No.

24 SHO: How did you come by the fan?

25 I/M GRAVES: I bought it for a dollar.

26 SHO: From whom did you buy the fan?

1 I/M GRAVES: I'm not telling you.

2 SHO: What currency did you use?

3 I/M GRAVES: Soups.

4 SHO: Did your cell partner ever use the fan?

5 I/M GRAVES: No.

6 SHO: Do you use heroin?

7 I/M GRAVES: No.

8 At this time the SHO called Sergeant Rowan as a witness:

9 SHO: Are you familiar with this inmate and the RVR being adjudicated here  
10 today?

11 Sgt. Rowan: Yes.

12 At this time Inmate GRAVES asked Sgt Rowan the following question:

13 I/M GRAVES: Did you give Officer Weston permission to search my cell?

14 Sgt. Rowan: No, I did not.

15 SHO: Is it your expectation that an officer must get permission to search an  
16 inmate's cell?

17 Sgt. Rowan: No.

18 At this time, via speaker telephone, Inmate GRAVES asked Officer Weston the  
19 following questions:

20 I/M GRAVES: Why wasn't the rest of the cell searched?

21 C/O Weston: It was searched.

22 I/M GRAVES: Do you still have the bindle material?

23 C/O Weston: It was all placed in the evidence envelope.

24 I/M GRAVES: Did another officer tell you I was selling drugs?

25 C/O Weston: There may have been a rumor. I have no idea.

26 SHO: Did this rumor prompt your cell search?

C/O Weston: No, I had heard it several weeks ago. This was a routine search.

1 I/M GRAVES: You said at another hearing that you searched it on a hunch. Was  
2 it searched on a hunch?

3 C/O Weston: Yes.

4 SHO: Are you stating that you used confidential information to prompt the  
5 search?

6 C/O Weston: No, it was still picked randomly.

7 I/M GRAVES: Was there dust on the tape that held the wrapping material?

8 C/O Weston: I don't recall.

9 SHO: Officer Weston, I'm having a problem reconciling whether or not this was a  
10 random search or whether confidential information was used in choosing this cell  
11 for a search. Would you clarify this point for me?

12 C/O Weston: It was random. I had no probable cause. I had some time and it  
13 was a good time to search some cells.

14 At this time the SHO asked Lieutenant Valencia the following question:

15 SHO: Are you familiar with Inmate GRAVES' RVR for Distribution of  
16 Controlled Substance?

17 Lt. Valencia: Yes.

18 At this time Inmate GRAVES asked Lieutenant Valencia the following questions:

19 I/M GRAVES: Were you told they would be looking for drugs?

20 Lt. Valencia: No.

21 I/M GRAVES: Did you help with the search?

22 Lt. Valencia: No.

23 I/M GRAVES: Were you present during the search?

24 Lt. Valencia: No.

25 FINDING: Inmate GRAVES was found GUILTY of violating CCR # 3016(c)  
26 DISTRIBUTION OF A CONTROLLED SUBSTANCE (HEROIN). The  
preponderance of the evidence submitted at the hearing does substantiate the  
charge. The following were considered:

1. The reporting employee's written report, which describes his actions as he  
discovered what he believed to be a controlled substance discovered in a fan

1 located in Cell 5/AA1-05, to which Inmate GRAVES was assigned on April 8,  
2 2002.

3 2. The Controlled Substance Analysis Report received from Sacramento County  
4 Laboratory of Forensic Services (Lab No. -2-03607-001) on May 7, 2002, which  
5 determined that the substance discovered by the reporting employee was heroin.

6 3. The total weight of the heroin (as determined by the above mentioned report)  
7 was 3.05 grams. This amount in an institutional setting is more than one inmate  
8 would have available for personal use. The basis for this determination in the  
9 Senior Hearing Officer's seven years experience in conducting Serious CDC 115  
hearings and over nineteen years as a Correctional Employee.

10 4. The fact that there were three separate bindles, which would indicate that the  
11 bindles were for more than one person's use and is typical of how heroin is  
12 packaged for sale, not personal usage. This determination was made on the same  
13 basis as Finding No. 3.

14 Answer, Exhibit 5.

15 The petition raises eleven claims.

16 A. Claim 1: Denial of Witnesses; Claim 6: Limit of Questions

17 The background to these claims is as follows. Petitioner claims that he appeared  
18 before Lieutenant Adams for his disciplinary hearing on July 21, 2002. Pet., Statement of Fact,  
19 p. 2: 6-7. Petitioner contends that his request to call Officers McCoy, Shampre and Meadows  
20 was initially denied, although they had participated in the search of his cell. Id., p. 2: 9-11.

21 Officer Weston participated at the hearing via telephone. Id., p. 2: 10-13.

22 Petitioner was permitted to ask Officer Weston six questions. Id., p. 2: 12-13. Petitioner's  
23 request to call inmate Collins as a witness was not addressed. Id., p. 2: 17-20.

24 Sergeant Rowan also appeared as a witness at the hearing. Id., p. 2: 19-20.

25 Lieutenant Valencia appeared as a witness via telephone. Id., p. 2: 20-22. When petitioner  
26 questioned Lieutenant Valencia, he mistakenly thought he was Officer Shampre or McCoy. Id.,  
p. 2: 21-24. Based on this misunderstanding, petitioner asked Lieutenant Valencia questions  
regarding the cell search, although Lieutenant Valencia had not participated in the search. Id., p.  
2: 23-24.

27 \\\\\\

1 At the hearing, Sergeant Rowan told Lieutenant Adams that each staff member  
2 involved in a cell search must prepare their own report of the incident. Id., p. 3: 7-9. Based on  
3 this response, Lieutenant Adams tried to call Officer Shampre, Meadows and McCoy on the  
4 phone with no luck. Id., p. 3: 9-11. Lieutenant Adams then postponed the hearing so that these  
5 officers could be present. Id., p. 3: 11-13.

6 On July 25, 2002, Officer Feryance told petitioner that Lieutenant Adams said that  
7 if petitioner waived his witnesses, they could conclude the hearing on July 26, 2002. Id., p. 3:  
8 15-16. Petitioner told Officer Feryance that he wanted his witnesses. Id., p. 3: 16-17. On July  
9 31, 2002, petitioner was escorted to the hearing room. Id., p. 3: 17-18. At that time, Lieutenant  
10 Adams told petitioner that his request for witnesses was denied and backdated the rules violation  
11 report to indicate that the hearing concluded on July 21, 2002. Id., p. 3: 18-20.

12 Under the Fourteenth Amendment's Due Process Clause, a prisoner is entitled to  
13 certain due process protections when he is charged with a disciplinary violation. Wolff v.  
14 McDonnell, 418 U.S. 539, 564-571, 94 S. Ct. 2693, 2978-2982 (1974). Such protections include  
15 the limited right to call witnesses, to present documentary evidence and to have a written  
16 statement by the factfinder as to the evidence relied upon and the reasons for the disciplinary  
17 action taken. Id. Prison officials may deny witnesses where their testimony would unduly  
18 extend the hearing or be unnecessary. Id.

19 The Rules Violation Report does not reflect petitioner's request to call inmate  
20 Collins as a witness. Therefore, this request apparently went unaddressed. However, as noted by  
21 respondent, this oversight did not prejudice petitioner given his admission of ownership of the  
22 fan. In the traverse, petitioner argues that there was "much information" that Collins could have  
23 provided to petitioner's defense. Traverse, p. 36: 3-4. The only specific information that  
24 petitioner describes inmate Collins as having been able to testify to concerns Officer Weston's  
25 testimony at Collins's May 14, 2002, suitability hearing. For the reasons discussed below, this  
26 testimony was not relevant to petitioner's defense.

1 Petitioner contends that at inmate Collins's suitability hearing, Officer Weston  
2 testified that he searched the cell because another officer had told him that petitioner was selling  
3 drugs to pay off a gambling debt. Officer Weston's motive in searching petitioner's cell was not  
4 relevant to petitioner's guilt or innocence. Hudson v. Palmer, 468 U.S. 517, 530 (1984)  
5 (prisoners do not retain any Fourth Amendment protections against unreasonable searches in  
6 their cells). Lieutenant Adams did not rely on any confidential information received by Officer  
7 Weston regarding petitioner's activities. See Zimmerlee v. Keeney, 831 F.2d 183, 186 (9th Cir.  
8 1987) (per curiam) ( a disciplinary decision based on statement of an unidentified inmate  
9 informant satisfies due process if 1) the record contains some factual information which the  
10 committee can reasonably conclude that the information was reliable; and 2) the record contains  
11 a prison official's affirmative statement that safety considerations prevent the disclosure of the  
12 informant's name.") Accordingly, the testimony of inmate Collins regarding statements made by  
13 Officer Weston at his suitability hearing was unnecessary.

14 Petitioner contends that on July 31, 2002, Lieutenant Adams denied his request to  
15 call Officers McCoy, Shambre and Meadows as witnesses apparently because they were  
16 unavailable. As discussed above, petitioner claims that Lieutenant Adams had postponed the  
17 hearing after realizing that these officers had prepared reports based on their participation in the  
18 search. Petitioner argues that because these officers participated in the search, they had relevant  
19 information. Respondent disputes this point, claiming that Officers Meadows and Shambre  
20 simply escorted petitioner from the cell during the search.

21 If petitioner's version of events is correct, then Lieutenant Adam's decision  
22 denying petitioner's request to call Officers Meadows, Shambre and McCoy as witnesses—after  
23 he had in effect granted the request—violated petitioner's right to due process because the  
24 decision was based simply on the temporary unavailability of these witnesses. However, for the  
25 following reasons, this error was harmless. An error of constitutional magnitude is harmless if it  
26 did not have a "substantial and injurious effect" on the decision-maker's verdict. Brecht v.

1 Abrahamsen, 507 U.S. 619, 637, 113 S. Ct. 1710, 1721 (1993).

2 Petitioner has not demonstrated that Officers Meadows, Shambre or McCoy  
3 would have provided any testimony exonerating him of the offense. While petitioner admitted  
4 ownership of the fan, he denied ownership of the heroin. Petitioner's defense was apparently that  
5 the heroin belonged to another inmate, other than inmate Collins. The only person who had any  
6 probative evidence regarding where the heroin came from was petitioner because he knew who  
7 owned the fan prior to him. However, he refused to divulge this information.

8 Moreover, petitioner's defense was improbable—not many inmates would sell to  
9 another inmate for \$1 a fan whose base was full of heroin. Officers Meadows, Shambre and  
10 McCoy clearly had no knowledge regarding who owned the fan prior to petitioner. Their  
11 testimony regarding the circumstances of the search would have been cumulative to evidence and  
12 testimony already presented at the hearing. Under these circumstances, the failure of these  
13 officers to testify did not have a substantial and injurious effect on the decision-maker's verdict.

14 Petitioner also contends that his right call witnesses was violated because when he  
15 questioned Lieutenant Valencia over the telephone, he mistakenly thought he was Officer  
16 Shambre or McCoy. Based on this misunderstanding, petitioner asked Lieutenant Valencia the  
17 wrong questions. Petitioner claims that Lieutenant Adams did not tell him that he was talking to  
18 Lieutenant Valencia.

19 Attached to the petition as exhibit G is a copy of the questions petitioner intended  
20 to pose to his witnesses. Petitioner had two questions for Lieutenant Valencia:

21 1. Were you the Lieutenant that during a state of emergency lockdown gave  
22 Officer Weston permission to search [petitioner's cell] once you were informed  
there might be drugs there?

23 2. Were you the Lieutenant that ordered Graves and Collins to be placed in  
custody holding cells and stripped during the cell search?

24  
25 Nothing in the record suggests that Lieutenant Adams intentionally sought to  
26 mislead petitioner regarding whom he was questioning. In any event, the answers to these

1 questions would not have assisted petitioner's defense. In other words, the answers to these two  
2 questions would not have shed light on who, other than petitioner, the heroin belonged to.  
3 Assuming petitioner's confusion regarding the identity of Lieutenant Valencia resulted in a  
4 denial of right to questions witnesses, any error was harmless.

5 Petitioner also contends that his right to call witnesses was violated because he  
6 was only permitted to ask Officer Weston six questions. Attached to the petition as exhibit G is a  
7 list which includes the eleven questions that petitioner wanted to ask Officer Weston. The five  
8 questions that petitioner chose not to ask Officer Weston are the following:

- 9 1. If a state of emergency suspends any nonessential operation and procedure  
10 or function, and you knew that 5 bldg cells was searched not later than 4-06-02  
and 5 bld inmates were on lockdown on 4-08-02 why was there a need to search  
5-AA-1-05 again.
- 11 2. Where do you place inmate's during a routine search.
- 12 3. Why were we handcuffed and placed in custody holding cells and stripped  
13 before cell search began.
- 14 4. Why wasn't the other two fans searched.
- 15 5. Am I guilty of stealing your flashlight (I found it in my cell).

16 The answer to these questions would not have assisted petitioner in his defense.

17 These questions did not shed light on which inmate, other than petitioner, owned the fan.

18 Accordingly, Lieutenant Adams's decision to allow petitioner to ask Officer Weston six  
19 questions did not violate petitioner's right to due process.

20 Finally, in his traverse petitioner argues that because he was handcuffed during his  
21 hearing, he should have been assigned a staff assistant to help him. In particular, petitioner  
22 claims that because he did not have use of his hands, a guard had to mark off for him the six  
23 questions that he was permitted to ask the witnesses. The court construes this claim to implicate  
24 petitioner's right to call witnesses. The inconvenience petitioner suffered as a result of being  
25 handcuffed in a prison setting did not prevent him from asking the witnesses questions.  
26 Although it was not convenient for petitioner to have a guard mark the questions he intended to

1 ask the witnesses, this situation did not prevent him from choosing his six questions and asking  
2 them. Accordingly, the court finds that petitioner's right to call witnesses was not denied as a  
3 result of him being handcuffed during the hearing and not being assigned a staff assistant.

4 After independently reviewing the record, the court finds that the state court  
5 decisions denying these claims were neither contrary to nor an unreasonable application of  
6 Supreme Court authority. Accordingly, these claims should be denied.

7 **B. Claim 2: Biased Hearing Officer**

8 Petitioner contends that the hearing officer, Lieutenant Adams, was biased against  
9 him because he had earlier presided over inmate Collins's disciplinary hearing for charges  
10 relating to the heroin. Because Lieutenant Adams found Collins not guilty, petitioner argues that  
11 he had predetermined petitioner's guilt by the time he presided over petitioner's hearing.

12 Due process requires a non-biased decision-maker. Wolff, 418 U.S. at 570-71, 94  
13 S. Ct. at 2981-82. Because Lieutenant Adams had presided over inmate Collins's disciplinary  
14 hearing did not mean that he had predetermined petitioner's guilt. If Lieutenant Adams had  
15 predetermined petitioner's guilt, he would not have questioned Officer Weston as closely as he  
16 did regarding whether the search of petitioner's cell was random.

17 After independently reviewing the record, the court finds that the state court  
18 decisions denying these claims were neither contrary to nor an unreasonable application of  
19 clearly established Supreme Court authority. Accordingly, this claim should be denied.

20 **C. Claims 3, 9: Denial of Non-Confidential Reports and Evidence**

21 In claim 3, petitioner argues that his right to due process was violated when prison  
22 officials refused to provide him with a copy of the report from inmate Collins's May 14, 2002,  
23 parole suitability hearing where Officer Weston allegedly testified that another officer had told  
24 him that petitioner was selling drugs to pay off a gambling debt. Petitioner also claims that the  
25 bundle of drugs was wrapped in a medical slip that contained the name and CDC # of an inmate.  
26 Petitioner argues that prison officials violated his right to due process by refusing to turn over

1 this document to him. Petitioner also argues that prison officials violated his right to due process  
2 by denying him access to the results of the urine tests of inmate Collins and himself.

3 "The due process requirements for a prison disciplinary hearing are in many  
4 respects less demanding than those for criminal prosecution, but they are not so lax as to let stand  
5 the decision of a biased hearing officer who dishonestly suppresses evidence of innocence."  
6 Edwards v. Balisok, 520 U.S. 641, 647, 117 S. Ct. 1584, 1588 (1997). Petitioner is claiming that  
7 prison officials suppressed evidence that demonstrated his innocence.

8 The evidence listed above did not demonstrate petitioner's innocence. As  
9 discussed above, evidence that Officer Weston testified in inmate Collin's suitability hearing that  
10 he heard that petitioner was selling drugs to pay off a gambling debt went to why he searched  
11 petitioner's cell. This evidence did not aid petitioner in proving that the heroin did not belong to  
12 him. Evidence of the results of the urine tests also would not have conclusively proved that  
13 petitioner was not selling drugs. Lieutenant Adams found that the amount of drugs in  
14 petitioner's cell was more than for personal use. Petitioner's admission that the fan was his, and  
15 that his cellmate had not used the fan, undercuts petitioner's contentions.

16 Finally, evidence that another inmate's name was contained on the medical slip  
17 may have been relevant to proving which inmate, other than petitioner, owned the heroin.  
18 However, as discussed above, petitioner knew who sold him the fan and yet refused to identify  
19 this inmate. Under these circumstances, the information on the medical slip was not relevant.

20 In claim 9, petitioner claims that Lieutenant Adams taped the July 21, 2002, and  
21 July 31, 2002, disciplinary hearings. Petitioner claims that Lieutenant Adams destroyed the tape  
22 recording after the hearing even though petitioner had requested a copy of it. Petitioner's right to  
23 due process in connection with his disciplinary hearing does not include the right to a copy of the  
24 tape recording of the hearing.

25 After independently reviewing the record, the court finds that the state court  
26 decisions denying these claims were neither contrary to nor an unreasonable application of

1 Supreme Court authority. Accordingly, these claims should be denied.

2           D. Claim 4: Guilty Finding not Supported by Some Evidence

3 Petitioner contends that his conviction was not supported by sufficient evidence.

4 Petitioner was convicted of violating Cal. Code Regs. tit. 15 § 3016(c) which provides that

5 inmates shall not distribute, as defined in section 3000, any controlled substance or controlled

6 medication. Section 3000 defines distribution as “the sale or unlawful dispersing, by an inmate

7 or parolee, of any controlled substance; or the solicitation of or conspiring with others in

8 arranging for, the introduction of controlled substances into any institution, camp, contract health

9 facility, or community correctional facility for the purpose of sales or distribution.

10 The Superior Court denied this claim on the following grounds:

11 The standard of review for sufficiency of the evidence in prison disciplinary

12 hearings is the “some evidence” test. (Superintendent v. Hill (1985) 472 U.S. 445.) As long as there is a “modicum” of evidence to support the charges, the

13 decision must be upheld.

14 The critical evidence in this case concerned possession and control of heroin

15 found in the cell. “[T]he elements of possession of narcotics are physical or

16 constructive possession thereof coupled with knowledge of the presence and

17 narcotic character of the drug...Constructive possession occurs when the accused

18 maintains control or a right to control the contraband; possession may be imputed

19 when the contraband is found in a place with is immediately and exclusively

20 accessible to the accused and subject to his dominion and control, or to the joint

21 dominion and control of the accused and another. The elements of unlawful

22 possession may be established by circumstantial evidence and any reasonable

23 inferences drawn from such evidence.” (People v. Williams (1971) 5 Cal.3d 211.)

24 In this case the heroin was found in wrapped plastic packages in a fan that

25 petitioner admitted he owned. The fan was accessible to both him and his

26 cellmate. The circumstances are sufficient to supply some evidence supporting

the disciplinary finding.

27 Answer, Exhibit 8.

28 Petitioner argues that his conviction is not supported by some evidence because

29 no drugs were found on his body and because no one saw him selling drugs. While petitioner did

30 not actually possess the heroin on his body, it was found in a fan that belonged to him. In

31 addition, the amount of heroin and the way it was packaged reasonably suggested that it was

1 intended for sale. Because heroin cannot realistically be manufactured from opium in a prison  
2 setting, it is beyond doubt that petitioner conspired with others to possess heroin for sale. Under  
3 these circumstances, there was some evidence to support his conviction of distribution of a  
4 controlled substance.

5 The denial of this claim by the Superior Court was neither contrary to nor an  
6 unreasonable application of clearly established Supreme Court authority. Accordingly, this claim  
7 should be denied.

8                   E. Claim 5: Violation of Miranda

9                   Petitioner argues that Lieutenant Adams questioned him at the disciplinary  
10 hearing even though he invoked his right to remain silent after being advised of his rights  
11 pursuant to Miranda v. Arizona, 384 U.S. 436, 86 S. Ct. 1602 (1966). Under Miranda, a person  
12 in custody must be informed before interrogation that he has a right to remain silent and to have a  
13 lawyer present. Id. The rules violation states both that petitioner waived his Miranda rights and  
14 decided to speak at the hearing and that he invoked his Miranda rights and chose not to speak.

15                   This court is aware of no clearly established Supreme Court authority holding that  
16 prison officials are required to admonish prisoners of their right to remain silent prior to prison  
17 disciplinary hearings. In fact, the Supreme Court has held that Miranda has no “substantial  
18 bearing on the question of whether counsel must be provided at ‘[p]rison disciplinary hearings  
19 [which] are not part of a criminal prosecution.’” Baxter v. Palmigiano, 425 U.S. 308, 315, 96 S.  
20 Ct. 1551, 1556 (1976). The Baxter court went on to conclude “[w]e see no reason to alter our  
21 conclusions so recently made in Wolff that inmates do not ‘have a right to either retained or  
22 appointed counsel in disciplinary hearings’ 418 U.S. at 570, 94 S.Ct. at 2981.” Id. at 315, 96  
23 S.Ct. at 1556.

24                   Because no clearly established Supreme Court authority required that petitioner  
25 receive the Miranda advisement regarding his right to remain silent prior to testifying at his  
26 disciplinary hearing, this claim is without merit. This court also suspects that the statement in the

1 rules violation report that petitioner invoked his Miranda rights and chose not to speak was  
2 clerical error.

3 After independently reviewing the record, the court finds that the state court  
4 decisions denying this claim were neither contrary to nor an unreasonable application of clearly  
5 established Supreme Court authority. Accordingly, this claim should be denied.

6 F. Claim 7: Denial of Fair Appeal Process

7 Petitioner contends that his administrative appeal following his conviction for the  
8 at-issue disciplinary was not properly investigated. Inmates lack a separate constitutional  
9 entitlement to a specific prison grievance procedure. Ramirez v. Galaza, 334 F.3d 850, 860 (9th  
10 Cir. 2003).

11 After independently reviewing the record, the court finds that the state court  
12 decisions denying this claim were neither contrary to nor an unreasonable application of clearly  
13 established Supreme Court authority. Accordingly, this claim should be denied.

14 G. Claim 8: Illegal SHU Term

15 Petitioner contends that prison officials violated his right to due process because  
16 they did not have authority to assess an 8 month Security Housing Unit (SHU) term for his  
17 conviction for distribution of narcotics. Petitioner is alleging a violation of state law.

18 A writ of habeas corpus is available under 28 U.S.C. § 2254(a) only on the basis  
19 of some transgression of federal law binding on the state courts. Middleton v. Cupp, 768 F.2d  
20 1083, 1085 (9th Cir. 1985); Gutierrez v. Griggs, 695 F.2d 1195, 1197 (9th Cir. 1983). It is  
21 unavailable for alleged error in the interpretation or application of state law. Middleton v. Cupp,  
22 768 F.2d at 1085; see also Lincoln v. Sunn, 807 F.2d 805, 814 (9th Cir. 1987); Givens v.  
23 Housewright, 786 F.2d 1378, 1381 (9th Cir. 1986). Habeas corpus cannot be utilized to try state  
24 issues de novo. Milton v. Wainwright, 407 U.S. 371, 377, 92 S. Ct. 2174, 2178 (1972).

25 The Supreme Court has reiterated the standards of review for a federal habeas  
26 court. Estelle v. McGuire, 502 U.S. 62, 112 S. Ct. 475 (1991). In Estelle v. McGuire, the

1 Supreme Court reversed the decision of the Court of Appeals for the Ninth Circuit, which had  
2 granted federal habeas relief. The Court held that the Ninth Circuit erred in concluding that the  
3 evidence was incorrectly admitted under state law since, “it is not the province of a federal  
4 habeas court to reexamine state court determinations on state law questions.” Id. at 67-68, 112 S.  
5 Ct. at 480. The Court re-emphasized that “federal habeas corpus relief does not lie for error in  
6 state law.” Id. at 67, 112 S. Ct. at 480, citing Lewis v. Jeffers, 497 U.S. 764, 110 S. Ct. 3092,  
7 3102 (1990), and Pulley v. Harris, 465 U.S. 37, 41, 104 S. Ct. 871, 874-75 (1984) (federal courts  
8 may not grant habeas relief where the sole ground presented involves a perceived error of state  
9 law, unless said error is so egregious as to amount to a violation of the Due Process or Equal  
10 Protection clauses of the Fourteenth Amendment).

11 The Supreme Court further noted that the standard of review for a federal habeas  
12 court “is limited to deciding whether a conviction violated the Constitution, laws, or treaties of  
13 the United States (citations omitted).” Id. at 68, 112 S. Ct. at 480. The Court also stated that in  
14 order for error in the state trial proceedings to reach the level of a due process violation, the error  
15 had to be one involving “fundamental fairness,” Id. at 73, 112 S. Ct. at 482, and that “we ‘have  
16 defined the category of infractions that violate “fundamental fairness” very narrowly.’” Id. at 73,  
17 112 S. Ct. at 482. Habeas review does not lie in a claim that the state court erroneously allowed  
18 or excluded particular evidence according to state evidentiary rules. Jammal v. Van de Kamp,  
19 926 F.2d 918, 919 (9th Cir. 1991).

20 In support of this claim, petitioner cites exhibit J attached to the petition. Exhibit  
21 J is a SHU Term Assessment Worksheet stating that petitioner was sentenced to an 8 month SHU  
22 term for the distribution of a controlled substance. The form also inexplicably indicates that the  
23 term was assessed pursuant to Rule 3005c titled “Force and Violence.” Cal. Code Regs. tit. 15 §  
24 3005c provides that inmates shall not willfully commit or assist another person in the  
25 commission of a violent injury to any person or persons.

26 \\\\\\

1           Cal. Code Regs. tit. 15 § 3341.5(c)(2)(B) provides that a determinate period of  
2 confinement in SHU may be established for an inmate found guilty of a serious offense listed in  
3 section 3315 of Title 15. Section 3315(a)(2)(D) lists possession of a controlled substance as a  
4 serious offense. Section 3341.5(c)(9)(E) states that a typical SHU term for distributing  
5 controlled substances should be 9 months. Petitioner's 8 month SHU term was clearly  
6 authorized by the regulations.

7           It is unclear why the SHU Term Assessment Worksheet cited § 3005c. It appears  
8 that the citation to this section was in error and harmless as petitioner's SHU term was  
9 authorized by state law. Therefore, petitioner's SHU term did not violate fundamental fairness.  
10 After independently reviewing the record, the court finds that the denial of this claim by the state  
11 courts was neither contrary to nor an unreasonable application of clearly established Supreme  
12 Court authority. Accordingly, this claim should be denied.

13           H. Claim 10: Inadequate Investigative Officer

14           Petitioner contends that the investigative officer appointed to assist him, Officer  
15 Feryance, was inadequate. "Where an illiterate inmate is involved...or where the complexity of  
16 the issue makes it unlikely that the inmate will be able to collect and present the evidence  
17 necessary for an adequate comprehension of the case, he should be free to seek the aid of a fellow  
18 inmate, or...to have adequate substitute aid...from the staff or from a[n] inmate designated by the  
19 staff." Wolff, 418 U.S. at 570, 94 S. Ct at 2982.

20           Petitioner contends that Officer Feryance failed to question witnesses McCoy,  
21 Meadows, Shampre or Collins, and failed to produce inmate Collins at the hearing. Petitioner  
22 also contends that Officer Feryance also failed to give petitioner non-confidential information  
23 including the last three cell searches from 4-8-02 and before.

24           In his report, Officer Feryance stated that on May 15, 2002, he interviewed  
25 petitioner. Answer, Exhibit 4. At that time, petitioner requested the following witnesses:  
26 Weston, Shambre, Meadows, McCoy, Rowan ,Valencia and inmate Collins. Id. The report also

1 indicates that petitioner asked Officer Feryance to ask Correctional Officer Weston several  
2 questions. Id. Officer Weston's answers to those questions are contained in the report. Id.

3 The report does not state that petitioner asked Officer Feryance to question  
4 witnesses McCoy, Meadows, Shampre or Collins before the hearing. For this reason, the court  
5 does not find that Officer Feryance failed to perform adequately as petitioner's staff assistant. In  
6 any event, as discussed above, petitioner has not demonstrated that McCoy, Shampre, Meadows  
7 or Collins would have been able to provide any evidence in support of his defense. Therefore,  
8 Officer Feryance's alleged failure to interview these witnesses prior to the hearing was harmless.

9 Petitioner also complains that Officer Feryance did not produce inmate Collins at  
10 the hearing. Officer Feryance's report states that petitioner requested that inmate Collins be a  
11 witness. Nothing in the record explains why Lieutenant Adams did not respond to this request.  
12 Assuming it was Lieutenant Feryance's responsibility to obtain inmate Collins's presence at the  
13 hearing, any error was harmless because petitioner has not demonstrated that inmate Collins  
14 would have been able to provide any exonerating testimony.

15 Petitioner also claims that Officer Feryance failed to provide him with information  
16 regarding the last three cell searches from 4-8-02 and before. Officer Feryance's report indicates  
17 that petitioner's request for the last three cell searches from 4-8-02 and before was granted. Id.  
18 Therefore, the basis of the instant claim is unclear. Assuming Officer Feryance did not actually  
19 provide petitioner with these documents, it is unclear how information regarding these cell  
20 searches would have aided petitioner's defense. Therefore, Officer Feryance's alleged failure to  
21 provide petitioner with this information was harmless.

22 After independently reviewing the record, the court finds that the denial of this  
23 claim by the state courts was neither contrary to nor an unreasonable application of clearly  
24 established Supreme Court authority. Accordingly, this claim is denied.

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26 \\\\\\

1                   I. Claim 11: Evidence Tampering

2                   Petitioner claims that Lieutenant Adams failed to put information in his rules  
3 violation report that would have proved petitioner's innocence. Petitioner contends that  
4 Lieutenant Adams omitted the following information: 1) Officer Weston's statement that he  
5 testified as a witness in inmate Collins's parole suitability hearing that another officer told him  
6 that petitioner was selling drugs to pay off a gambling debt; 2) Officer Weston's statement that  
7 when he sees traffic in a cell or a change in an inmate's personality, he searches the cell; 3)  
8 Sergeant Rowan's statement that all officers who participate in a cell search prepare a report; 4)  
9 on July 21, 2002, Lieutenant Adams tried to reach McCoy, Meadows and Shampre by phone; 5)  
10 the hearing actually ended on July 31, 2002.

11                   “The due process requirements for a prison disciplinary hearing are in many  
12 respects less demanding than those for criminal prosecution, but they are not so lax as to let stand  
13 the decision of a biased hearing officer who dishonestly suppresses evidence of innocence.”

14 Edwards v. Balisok, 520 U.S. at 647, 117 S. Ct. at 1588. The evidence cited above would not  
15 have established petitioner's innocence. As discussed above, at the hearing petitioner admitted  
16 that he owned the fan. During the investigation, he told the investigating officer that his cellmate  
17 was not aware of the fan. While petitioner suggested that the heroin belonged to another inmate,  
18 the evidence cited above would not have helped him prove who this inmate was. As discussed  
19 above, petitioner undercut his own defense by refusing to disclose the identity of the inmate from  
20 whom he bought the fan.

21                   By failing to include the information cited above in his report, Lieutenant Adams  
22 did not suppress evidence of petitioner's innocence. Moreover, due process does not require that  
23 the report from the disciplinary hearing contain every statement made at the hearing. Wolff, 418  
24 U.S. at 564-571, 94 S. Ct. at 2978-2982.

25                   The denial of this claim by the California courts was neither contrary to nor an  
26 unreasonable application of clearly established Supreme Court authority. Accordingly, this claim

1 should be denied.

2 Accordingly, IT IS HEREBY ORDERED that:

3 1. The February 15, 2005, order to show cause is discharged;  
4 2. Petitioner's March 31, 2005, motion to amend and May 26, 2005, motion to  
5 file a second amendment are denied;

6 IT IS HEREBY RECOMMENDED that petitioner's application for a writ of  
7 habeas corpus be denied.

8 These findings and recommendations are submitted to the United States District  
9 Judge assigned to the case, pursuant to the provisions of 28 U.S.C. § 636(b)(1). Within twenty  
10 days after being served with these findings and recommendations, any party may file written  
11 objections with the court and serve a copy on all parties. Such a document should be captioned  
12 "Objections to Magistrate Judge's Findings and Recommendations." Any reply to the objections  
13 shall be served and filed within ten days after service of the objections. The parties are advised  
14 that failure to file objections within the specified time may waive the right to appeal the District  
15 Court's order. Martinez v. Ylst, 951 F.2d 1153 (9th Cir. 1991).

16 DATED: 9/12/05

17 /s/ Gregory G. Hollows

18 GREGORY G. HOLLOWS  
19 UNITED STATES MAGISTRATE JUDGE

20 ggh:kj  
grave1279.157